

# To Infinity, and Beyond: From Setoids to Weak $\omega$ -Categories

Thanks to Nicolai Krauss, Dan Licata, Darin Morrison  
and Ondrej Rypacek

Thorsten Altenkirch

Functional Programming Laboratory  
School of Computer Science  
University of Nottingham

July 7, 2011

## Equality types

- Equality types in Type Theory:  $a \equiv b$  is the set of proofs that  $a$  is equal to  $b$ .

```
data _  $\equiv$  _ : A  $\rightarrow$  A  $\rightarrow$  Set where  
  refl : { a : A }  $\rightarrow$  a  $\equiv$  a
```

- We can show that  $\equiv$  is an equivalence relation using pattern matching.

```
sym : a  $\equiv$  b  $\rightarrow$  b  $\equiv$  a  
sym refl = refl  
trans : a  $\equiv$  b  $\rightarrow$  b  $\equiv$  c  $\rightarrow$  a  $\equiv$  c  
trans refl q = q
```

## About equality proofs

- In Type Theory we can make statements about the equality of equality proofs.
- E.g. *Uniqueness of Identity Proofs* (UIP) : all equality proofs are equal.

$$uip : (p\ q : a \equiv b) \rightarrow p \equiv q$$

- We may ask whether equality is a groupoid, i.e.

$$lneutr : trans\ refl\ p \equiv p$$

$$rneutr : trans\ p\ refl \equiv p$$

$$assoc : trans\ (trans\ p\ q)\ r \equiv trans\ p\ (trans\ q\ r)$$

$$linv : trans\ (sym\ p)\ p \equiv refl$$

$$rinv : trans\ p\ (sym\ p) \equiv refl$$

# Pattern matching proves UIP

- All the equalities are provable using pattern matching, e.g.

$$\begin{aligned} uip &: (p\ q : a \equiv b) \rightarrow p \equiv q \\ uip\ refl\ refl &= refl \end{aligned}$$

## J - the eliminator

- An alternative to pattern matching is the eliminator  $J$ :

$$\begin{aligned} J : & (M : \{ a b : A \} \rightarrow a \equiv b \rightarrow \text{Set}) \\ & \rightarrow (\{ a : A \} \rightarrow M (\text{refl } \{ a \})) \\ & \rightarrow (p : a \equiv b) \rightarrow M p \\ J M m (\text{refl } \{ a \}) &= m \{ a \} \end{aligned}$$

- Using  $J$  we can derive all the previous propositions but not *uip*.
- $J$  corresponds to a restricted form of pattern matching.

## Question

Should we accept or reject UIP?

# Equality of functions

- What should be equality of functions?
- All operations in Type Theory preserve extensional equality of functions.  
The only exception is intensional propositional equality.
- We would like to define propositional equality as extensional equality.

*postulate*

$$\begin{aligned} \text{ext} : (f\ g : A \rightarrow B) \\ \rightarrow ((a : A) \rightarrow f\ a \equiv g\ a) \rightarrow f \equiv g \end{aligned}$$

# Equality of types

- What should be equality of types?
- All operations of Type Theory preserve isomorphisms (or bijections).

The only exception is intensional propositional equality.

- Unlike Set Theory, e.g.  $\{0, 1\} \simeq \{1, 2\}$  but  $\{0, 1\} \cup \{0, 1\} \not\simeq \{0, 1\} \cup \{1, 2\}$ .
- We would like to define propositional equality of types as isomorphism.



# UIP and isomorphism

- UIP doesn't hold if we define equality of types as isomorphism.
- E.g. there is more than one way to prove that *Bool* is isomorphic to *Bool*.
- If we want to use isomorphism as equality we cannot allow uip.

# Eliminating extensionality

- Adding principles like *ext* or univalence as constants destroys basic computational properties of Type Theory.
- E.g. there are natural numbers not reducible to a numeral.
- We can eliminate *ext* by translating every type as a setoid see my LICS 99 paper: *Extensional Equality in Intensional Type Theory*.

# Setoids

- Setoids are sets with an equivalence relation.

```
record Setoid : Set1 where  
  field  
    set : Set  
    eq : set → set → Prop  
    ...
```

- I write *Prop* to indicate that all proofs should be identified.
- This seems necessary for the construction.

## Function setoids

- A function between setoids has to respect the equivalence relation.

*record*  $\_ \Rightarrow \text{set}\_ (A\ B : \text{Setoid}) : \text{Set}$  **where**  
*field*  
 $\text{app} : \text{set}\ A \rightarrow \text{set}\ B$   
 $\text{resp} : \forall \{a\} \{a'\} \rightarrow \text{eq}\ A\ a\ a' \rightarrow \text{eq}\ B\ (\text{app}\ a)\ (\text{app}\ a')$

- Equality between functions is extensional equality:

$\_ \Rightarrow \_ : \text{Setoid} \rightarrow \text{Setoid} \rightarrow \text{Setoid}$   
 $A \Rightarrow B = \text{record} \{$   
 $\text{set} = A \Rightarrow \text{set}\ B;$   
 $\text{eq} = \lambda f\ f' \rightarrow$   
 $\quad \forall \{a\} \rightarrow \text{eq}\ B\ (\text{app}\ f\ a)\ (\text{app}\ f'\ a)\}$

# Proof-Irrelevance

- Since we are using *Prop* the construction enforces UIP.

## Question

What do we have to use instead of setoids, if we don't want UIP?

# Globular sets

- The first approximation are *globular sets* which are a coinductive type:

*record*  $Glob : Set_1$  **where**  
*field*  
 $obj : Set_0$   
 $eq : obj \rightarrow obj \rightarrow \infty Glob$

## Function globular sets

- The set of functions is also defined coinductively:  
 $record \_ \Rightarrow set\_ (A B : Glob) : Set$  **where** *field*  
 $app : set A \rightarrow set B$   
 $resp : \forall \{ a a' \} \rightarrow \infty (b (eq A a a')$   
 $\Rightarrow set (b (eq B (app a) (app a'))))$

- To define equality we need  $\Pi$ -types as a globular set:

$$\Pi : (A : Set) (F : A \rightarrow Glob) \rightarrow Glob$$
$$\Pi A F = record \{$$
$$set = (a : A) \rightarrow set (F a);$$
$$eq = \lambda f g \rightarrow \# \Pi A (\lambda a \rightarrow b (eq (F a) (f a) (g a))) \}$$

- Now we can define function globular sets:

$$\_ \Rightarrow \_ : Glob \rightarrow Glob \rightarrow Glob$$
$$A \Rightarrow B = record \{$$
$$set = A \Rightarrow set B;$$
$$eq = \lambda f g \rightarrow \# \Pi (set A) (\lambda a \rightarrow b (eq B (app f a) (app g a))) \}$$

## What about the ... ?

- For setoids we have to add:

*record Setoid : Set<sub>1</sub> where*  
*field*

*set : Set*

*eq : set → set → Prop*

*refl : ∀{ a } → eq a a*

*sym : ∀{ a } { b } → eq a b → eq b a*

*trans : ∀{ a } { b } { c } → eq a b → eq b c → eq a c*

- What do we need for globular sets?



## Weak $\omega$ -groupoids

- We need *refl*, *sym* and *trans* at all levels.
- We require the groupoid equations everywhere.
- *trans* and *sym* are actually functors.
- All equalities are weak, i.e. equations are witnessed by elements of homsets.
- Coherence: All equations which are provable using a strict equality should be witnessed in the weak sense.

# Globular sets

- A weak  $\omega$ -groupoids is a globular set with additional structure.
- To define this framework we introduce a language to talk about categories and objects in a weak  $\omega$ -groupoid.
- A weak  $\omega$ -gropoid is then defined as a globular set which interprets this language.

# The framework

**data** *Con* : *Set* **where**

$\epsilon : \text{Con}$

$\rightarrow, \_ : (\Gamma : \text{Con}) (C : \text{Cat } \Gamma) \rightarrow \text{Con}$

**record** *HomSpec* ( $\Gamma : \text{Con}$ ) : *Set* **where**

*field*

$\text{cat} : \text{Cat } \Gamma$

$\text{dom cod} : \text{Obj cat}$

**data** *Cat* : ( $\Gamma : \text{Con}$ )  $\rightarrow$  *Set* **where**

$\text{ffl} : \forall \{ \Gamma \} \rightarrow \text{Cat } \Gamma$

$\text{hom} : \forall \{ \Gamma \} \rightarrow \text{HomSpec } \Gamma \rightarrow \text{Cat } \Gamma$

**data** *Obj* :  $\{ \Gamma : \text{Con} \} (C : \text{Cat } \Gamma) \rightarrow \text{Set}$  **where**

$\text{var} : \forall \{ \Gamma \} \{ C : \text{Cat } \Gamma \} \rightarrow \text{Var } C \rightarrow \text{Obj } C$

...

*record*  $\omega\text{Cat} : \text{Set}_1$  **where**

*field*

$G : \text{Glob}$

$\text{evalCon} : \text{Con} \rightarrow \text{Set}$

$\text{evalCat} : (C : \text{Cat } \Gamma) (\gamma : \text{evalCon } \Gamma) \rightarrow \text{Glob}$

$\text{evalObj} : (A : \text{Obj } C) (\gamma : \text{evalCon } \Gamma) \rightarrow \text{Glob.obj } (\text{evalCat } C \gamma)$

$\text{evalCon } \epsilon G = \top$

$\text{evalCon } (\Gamma, C) G =$

$\Sigma [\gamma : \text{evalCon } \Gamma G] \text{Glob.obj } (\text{evalCat } C G \gamma)$

$\text{evalCat } \text{ffl } G \gamma = G$

$\text{evalCat } (\text{hom } (C [A, B])) G \gamma = \text{b}(\text{Glob.hom } (\text{evalCat } C G \gamma)$

$(\text{evalObj } A G \gamma)$

$(\text{evalObj } B G \gamma))$

...

# Conclusions

- Weak  $\omega$ -groupoids replace setoids when we want to interpret Type Theory without UIP.  
(*higher dimensional Type Theory*)
- Already defining them precisely is quite hard.
- Using them to interpret Type Theory looks even harder.
- Are there ways to reduce bureaucracy?