

# Why Dependent Types Matter

Thorsten Altenkirch

School of Computer Science  
University of Nottingham

October 5, 2011

# The Greek-ASCII dichotomy

- Programs are (were ?) written in ASCII ...
- Papers in theoretical Computer Science use greek letters ...
- Programmers don't do proofs ...
- Theoreticians don't write programs ...
- Can we bridge the gap?

## Another observation

- Compilers don't read comments . . .
- Sometimes they should!
- How can we make informal statements formal
- and checkable by our software tools?

## A clarification

- Formal specifications cannot be the starting point of software development.
- The early stages are exploratory steps involving prototypes
- In the beginning we don't know much about the software we are developing.
- Exploratory steps / Consolidation steps.
- Specifications are one of the outputs of consolidation steps.
- Would like to guarantee that specifications and code fit together.

# Proofs

- Unrealistic to hope that all relevant properties are decidable.
- Need proofs as formal objects which provide evidence that an assertion holds.
- Replace oracles  
(decision procedures which answer either yes or no) . . .
- . . . with evidence producing decision procedures.
- Potential of an economy of proofs  
(who is too blame?).

# But how do we do it?

## Programming Language + Logic

- Separation of language for programming and reasoning
- Possible for (almost) any programming language
- Conventional logic (1st order, classical)
- Geared to posthoc verification

## Dependently Typed Programming

- Functional language with an expressive type system
- Reasoning emerges due to the Curry-Howard principle
- Intuitionistic logic
- Integration of reasoning and programming

# From Per to Ulf



Per Martin-Löf

Introduced Type Theory  
As a new constructive foundation of Mathematics  
since the mid 1970ies



Ulf Norell

Implemented the current Agda system  
A functional programming language  
and an interactive proof assistant  
based on Type Theory  
in his PhD in 2005

# Rest of the talk

- A taste of Agda
- The Curry-Howard Principle
- Classical logic
- Recursion and induction
- Families of types
- Coinduction
- Design challenges



# A taste of Agda

# Safe lookup

- Define an operation which extracts the  $n$ th element out of a list.

$$\begin{aligned} & \_ !! \_ : List\ A \rightarrow \mathbb{N} \rightarrow A \\ xs !! n & = ? \end{aligned}$$

## 1st attempt

$$\begin{aligned}
 & \_ !! \_ : List\ A \rightarrow \mathbb{N} \rightarrow A \\
 & [] !! n = ? \\
 & (x :: xs) !! zero = x \\
 & (x :: xs) !! suc\ n = xs !! n
 \end{aligned}$$

- We cannot complete this program.
- Agda only allows complete pattern.
- $A$  could be empty.

## 2nd attempt (use monad)

$$\_ !! \_ : List\ A \rightarrow \mathbb{N} \rightarrow Maybe\ A$$

$$[] !! n = nothing$$

$$(a :: as) !! zero = just\ a$$

$$(a :: as) !! suc\ n = as !! n$$

- We use the *Maybe* monad.
- In Haskell (and other languages) this is built-in.
- Runtime errors may arise at any time.

# From *Nat* and *List*

**data**  $\mathbb{N} : \text{Set}$  **where**

*zero* :  $\mathbb{N}$

*suc* :  $(n : \mathbb{N}) \rightarrow \mathbb{N}$

**data** *List* ( $A : \text{Set}$ ) : *Set* **where**

*[]* : *List*  $A$

*\_::\_* :  $(x : A) (xs : \text{List } A) \rightarrow \text{List } A$

## To *Fin* and *Vec*

**data** *Fin* :  $\mathbb{N} \rightarrow \text{Set}$  **where**

*zero* : *Fin* (*suc* *n*)

*suc* : (*i* : *Fin* *n*)  $\rightarrow$  *Fin* (*suc* *n*)

**data** *Vec* (*A* : *Set*) :  $\mathbb{N} \rightarrow \text{Set}$  **where**

*[]* : *Vec* *A* *zero*

*\_::\_* : (*x* : *A*) (*xs* : *Vec* *A* *n*)  $\rightarrow$  *Vec* *A* (*suc* *n*)

## 3rd attempt (use dependent types)

```

_ !! _ : Vec A n → Fin n → A
[] !! ()
(x :: xs) !! zero = x
(x :: xs) !! suc i = xs !! i

```

- We have replaced *List* with *Vec* and *Nat* with *Fin*.
- No runtime errors.

- Using dependent types we can eliminate runtime errors
- But what if we read the index from external sources?
- We need to check but only once.
- Runtime errors are clearly localized.



# The Curry-Howard principle

# The Curry-Howard principle

- We can express certain constraints using dependent types.
- What are the limits of this technology?
- We can encode any logical formula as a dependent type.
- We assign to a logical formula the set of its proofs.

$$\begin{aligned} \text{prop} &: \text{Set}_1 \\ \text{prop} &= \text{Set} \end{aligned}$$

- Proving = constructing a program of this type.

# Propositional Logic

**Implication**  $P \rightarrow Q$  is given by the type of functions from  $P$  to  $Q$ .

**Conjunction**  $P \wedge Q$  is given by the type of pairs of elements of  $P$  and  $Q$ .

```
data _  $\wedge$  _ (P Q : prop) : prop where
   $\rightarrow$ ,  $-$  : P  $\rightarrow$  Q  $\rightarrow$  P  $\wedge$  Q
```

**Disjunction**  $P \vee Q$  is given by the disjoint union of elements of  $P$  and  $Q$ .

```
data _  $\vee$  _ (P Q : prop) : prop where
  left : P  $\rightarrow$  P  $\vee$  Q
  right : Q  $\rightarrow$  P  $\vee$  Q
```

# How to prove ?

$$P \wedge (Q \vee R) \Leftrightarrow (P \wedge Q) \vee (P \wedge R) ?$$

# Write a program!

*distrib* :  $P \wedge (Q \vee R) \rightarrow (P \wedge Q) \vee (P \wedge R)$

*distrib* (*p*, *left* *q*) = *left* (*p*, *q*)

*distrib* (*p*, *right* *r*) = *right* (*p*, *r*)

- Observe that the program is invertible.
- Hence we can prove  $\Leftrightarrow$ .
- This provides a different explanation than the truth table.
- More accessible to programmers?!

# Predicate logic

**universal quantification** The set of proofs of  $\forall x : A. P x$  is the set of dependent function  $(x : A) \rightarrow P x$ .

**existential quantification** The set of proofs of  $\exists x : A. P x$  is the set of dependent pairs:

**data**  $\exists (A : Set) (P : A \rightarrow prop) : prop$  **where**  
 $\rightarrow, - : (a : A) \rightarrow P a \rightarrow \exists A P$

# How to prove?

$$\forall x : A. P x \rightarrow Q \iff (\exists x : A. P x) \rightarrow Q$$

# Write a program!

$$\text{curry} : ((\exists A P) \rightarrow Q) \rightarrow (a : A) \rightarrow P a \rightarrow Q$$

$$\text{curry } x = \lambda a x' \rightarrow x (a, x')$$

$$\text{curry}' : ((a : A) \rightarrow P a \rightarrow Q) \rightarrow ((\exists A P) \rightarrow Q)$$

$$\text{curry}' x (a, y) = x a y$$

- Generalized form of currying.  
 $(P \wedge Q \rightarrow R) \Leftrightarrow (P \rightarrow Q \rightarrow R)$
- Not just a logical equivalence ...
- but an isomorphism.
- Not all equivalences are isomorphisms.



# Classical logic

# What about the excluded middle ?

- We cannot prove:

$$tnd : \{ P : prop \} \rightarrow P \vee \neg P$$

and other classical principles.

- Because our logic is intuitionistic and constructive.

# The classical Babelfish

Classical reasoner says:	Babelfish translates to:
$A \vee B$	$\neg(\neg A \wedge \neg B)$
$\exists x : S.Px$	$\neg\forall x : S.\neg Px$

- *Negative translation*
- $A \vee \neg A$  is translated to  $\neg(\neg A \wedge \neg\neg A)$  which is constructively provable.
- A classical reasoner is somebody who is unable to say anything positive.
- However, while the axiom of choice is provable (easily)

$$(\forall a : A.\exists b : B.R a b) \rightarrow \exists f : A \rightarrow B.\forall a : A.R a (f a)$$

- its translation is not:

$$(\forall a : A.\neg\forall b : B.\neg R a b) \rightarrow \neg\forall f : A \rightarrow B.\neg\forall a : A.R a (f a)$$

# Recursion and induction

# How to prove ?

$$\forall i j k : \mathbb{N}. (i + j) + k = i + (j + k)?$$

where

$$_ + _ : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$$

$$\mathit{zero} + n = n$$

$$\mathit{suc} \ m + n = \mathit{suc} \ (m + n)$$

# Equality

The only proof that  $a = b$  is *refl* if  $a$  and  $b$  are identical.

```
data _ ≡ _ (x : A) : A → Set where
  refl : x ≡ x
```

We can prove that every function respects equality using pattern matching:

```
cong : (f : A → B) {a b : A} → a ≡ b → f a ≡ f b
cong f refl = refl
```

# Write a program!

$assoc : (i\ j\ k : \mathbb{N}) \rightarrow (i + j) + k \equiv i + (j + k)$

$assoc\ zero\ j\ k = refl$

$assoc\ (suc\ i)\ j\ k = cong\ suc\ (assoc\ i\ j\ k)$

- This is a recursive program!
- Induction = primitive recursion
- What is the result of  $assoc\ 2\ 7\ 3$  ?

# Proof irrelevance

- Indeed *assoc* always returns *refl*.
- There is no point in running *assoc*.
- However, it is important to know that it exists.
- Is this always the case?



## Deciding equality

- Equality for 1st order datatypes (like  $\mathbb{N}$ ) is decidable.
- This is witnessed by a boolean function:

$\_ \equiv? \_ : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathit{Bool}$

$\mathit{zero} \equiv? \mathit{zero} = \mathit{true}$

$\mathit{zero} \equiv? \mathit{suc} \ n = \mathit{false}$

$\mathit{suc} \ n \equiv? \mathit{zero} = \mathit{false}$

$\mathit{suc} \ n \equiv? \mathit{suc} \ m = n \equiv? m$

- How do we know that this function decides equality?

# Decidability

- To decide a proposition means we can show there is a proof ...
- or there cannot be one.

**data**  $Dec (P : Set) : Set$  **where**

$yes : (p : P) \rightarrow Dec P$

$no : (\neg p : \neg P) \rightarrow Dec P$

- A predicate is *decidable*, if each instance can be decided.
- To say that equality is decidable amounts to  
 $(m n : \mathbb{N}) \rightarrow Dec (m \equiv n)$

## Deciding equality ...

$$\begin{aligned}
_ &\equiv? _ : (m\ n : \mathbb{N}) \rightarrow Dec\ (m \equiv n) \\
zero &\equiv? zero = yes\ refl \\
zero &\equiv? suc\ n = no\ (\lambda\ ()) \\
suc\ n &\equiv? zero = no\ (\lambda\ ()) \\
suc\ n &\equiv? suc\ m\ with\ n \equiv? m \\
suc\ n &\equiv? suc\ m \mid yes\ p = yes\ (cong\ suc\ p) \\
suc\ n &\equiv? suc\ m \mid no\ np = \\
&\quad no\ (\lambda\ q \rightarrow np\ (cong\ pred\ q))
\end{aligned}$$

- Similar structure as the boolean function.
- Instead of returning *true* or *false* ...
- $\equiv?$  returns *yes* or *no* and evidence that this is the correct answer.
- Indeed  $\equiv?$ 's type already completely specifies its behaviour.

# Families of types

# How to define $_ \leq _$ ?

**data**  $_ \leq _ : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \text{Set}$  **where**

$le0 : zero \leq n$

$leS : m \leq n \rightarrow suc\ m \leq suc\ n$

- $m \leq n$  is the set of derivation trees showing that  $m$  is less or equal  $n$ .
- E.g.  $leS (leS le0) : 2 \leq 4$
- How to prove transitivity?

# Write a program!

**data**  $_ \leq _ : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbf{Set}$  **where**

$le0 : zero \leq n$

$leS : m \leq n \rightarrow suc\ m \leq suc\ n$

$trans : \forall \{l\ m\ n\} \rightarrow l \leq m \rightarrow m \leq n \rightarrow l \leq n$

$trans\ le0\ p = le0$

$trans\ (leS\ p)\ (leS\ q) = leS\ (trans\ p\ q)$

# How to define provability?

**data**  $\_ \vdash \_ : \text{Context} \rightarrow \text{Formula} \rightarrow \text{Set}$  **where**

*ass* :  $\Gamma \cdot A \vdash A$

*weak* :  $\Gamma \vdash A \rightarrow \Gamma \cdot B \vdash A$

*app* :  $\Gamma \vdash A \Rightarrow B \rightarrow \Gamma \vdash A \rightarrow \Gamma \vdash B$

*abs* :  $\Gamma \cdot A \vdash B \rightarrow \Gamma \vdash A \Rightarrow B$

- Minimal propositional logic.
- $\Gamma \vdash A$  is the set of derivation trees proving  $A$  from  $\Gamma$ .
- This is a natural deduction style definition.
- Corresponds to typed  $\lambda$ -calculus with de Bruijn variables.
- Define typed terms directly, not untyped terms and typing relation.

# Combinatory logic

**data**  $\_ \vdash sk\_ : Context \rightarrow Formula \rightarrow Set$  **where**

$ass : \Gamma \cdot A \vdash sk A$

$weak : \Gamma \vdash sk A \rightarrow \Gamma \cdot B \vdash sk A$

$app : \Gamma \vdash sk A \Rightarrow B \rightarrow \Gamma \vdash sk A \rightarrow \Gamma \vdash sk B$

$K : \Gamma \vdash sk A \Rightarrow B \Rightarrow A$

$S : \Gamma \vdash sk (A \Rightarrow B \Rightarrow C) \Rightarrow (A \Rightarrow B) \Rightarrow A \Rightarrow C$

- Can prove equivalence  
 $\Gamma \vdash A \Leftrightarrow \Gamma \vdash sk A$
- by recursion / induction over derivation trees.
- Key lemma:  $\Gamma \cdot A \vdash sk B \rightarrow \Gamma \vdash sk A \Rightarrow B$



# Coinduction

# Streams

- While *List A* represents the set of finite sequences.
- *Stream A* is the set of infinite sequences.

**data** *Stream* (*A* : *Set*) : *Set* **where**

$\_ :: \_ : A \rightarrow \infty(\text{Stream } A) \rightarrow \text{Stream } A$

- To define *Stream A* we exploit the notion of lifted types  $\infty A$ .
- Delay :  $\sharp : A \rightarrow \infty A$
- Force :  $\flat : \infty A \rightarrow A$

# Computations on streams

- Define the sequence of numbers starting with  $n$ :

$$\text{from} : \mathbb{N} \rightarrow \text{Stream } \mathbb{N}$$

$$\text{from } n = n :: \#(\text{from } (\text{suc } n))$$

- Can we prove?

$$\text{mapStream } \text{suc } (\text{from } n) \approx \text{from } (\text{suc } n) \text{ where}$$

$$\text{mapStream} : (A \rightarrow B) \rightarrow \text{Stream } A \rightarrow \text{Stream } B$$

$$\text{mapStream } f (a :: as) = f a :: \#(\text{mapStream } f (bas))$$

# Infinite proofs

- Since proofs = programs
- proofs over infinite datastructures
- can be infinite datastructures themselves.
- Extensional equality of streams (bisimilarity).

**data**  $_ \approx _ \{A\} : (xs\ ys : Stream\ A) \rightarrow Set$  **where**  
 $_ :: _ : \forall x \{xs\ ys\} (xs \approx : \infty (bxs \approx bys)) \rightarrow x :: xs \approx x :: ys$

- Can construct an infinite proof:

$nthLem : (n : \mathbb{N}) \rightarrow mapStream\ suc\ (from\ n) \approx from\ (suc\ n)$   
 $nthLem\ n = suc\ n :: \#nthLem\ (suc\ n)$

# Design challenges

# Termination checking

- Need to ensure programs are total.
- Agda termination checker verifies structural recursion / guardedness .
- Non-structural / non-guarded total programs can be implemented  
...
- ...but the effort is considerable.
- Need extensible but safe termination checker.
- Reduction to total core language instead of external checker?

# Efficient implementation of IDEs

- Interactive program development creates new challenges.
- Symbolic evaluation.
- Typechecking incomplete programs.
- Issues with scaling to larger sized developments.
- Agda: problems with records due to  $\eta$ -expansion.

# Efficient compilation

- Naive compilation creates considerable overhead.
- Many expressions don't need to be computed, no computational content.
- See Edwin Brady's work on compilation of dependently typed languages.
- Dependent type provide ample opportunities for novel optimisations (e.g. exploiting finiteness)



# Interfacing the real world

- Monads provide a clear interface to effectful programming.
- Haskell's IO monad is opaque.
- How to reason about it?
- What happens when I/O expression appear in dependent types?
- See wouter Swierstra's work on functional specification of I/O.

# Proof automatisation

- Want to create proofs (semi) automatically.
- Instead of providing a tactic language . . .
- exploit reflection!
- Use Agda to write tactics.
- E.g. see the recent work of Struth and Foster.

# Reusability

- Finer types
- reduce reusability
- E.g. instead of lists we have vectors, sorted lists, contexts, . . .
- Hard to implement a useful library.
- Use generic programming to derive datatypes
- and share common structure
- Topic of an ongoing research project (Nottingham, Oxford, Strathclyde)

# Tricky datatypes

- Agda allows very flexible mutual definitions.
- induction-recursion.
- induction-induction.
- which are not well understood semantically.
- Topic of an ongoing research project (Nottingham, Swansea, Strathclyde).

# Extensionality

- The principle of extensionality is not provable in Agda  
 $ext : (f\ g : A \rightarrow B) \rightarrow ((a : A) \rightarrow f\ a \equiv g\ a) \rightarrow f \equiv g$
- Lack of quotient types.
- New proposal: identify types upto isomorphism (Voevodsky)
- Don't want to add axioms
- because they destroy the computational structure of the theory.
- Can these principles be eliminated?

# Conclusions

# Conclusions

- DTP: new perspective on certified program development.
- Reasoning emerges from a rich type discipline.
- Covers the whole spectrum from programming to verification.
- Allows a pay-as-you go approach to certification.
- New challenges . . .
- . . . but many of them seem to be unavoidable.